

## Investor Complaint Data - Avendus Wealth Management Private Limited (Investment Adviser)

Data for the month ending: May 31, 2024

| Sr.<br>No | Received<br>from             | Pending at<br>the end of<br>last month | Received | Resolved* | Total<br>Pending# | Pending<br>Complaints<br>> 3months | Average<br>Resolution<br>time^<br>(in days) |
|-----------|------------------------------|--|----------|-----------|-------------------|------------------------------------|---|
| 1         | Directly from the Investors  | 0                                      | 0        | 0         | 0                 | 0                                  | NA  |
| 2         | SEBI<br>(SCORES)             | 0                                      | 1        | 1         | 0                 | 0                                  | NA  |
| 3         | Other<br>Sources<br>(if any) | 0                                      | 0        | 0         | 0                 | 0                                  | NA  |
|           | <b>Grand Total</b>           | 0                                      | 1        | 1         | 0                 | 0                                  | NA  |

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## **Trend of Monthly Disposal of Complaints**

| Sr.<br>No | Month              | Carried forward from previous month | Received | Resolved* | Pending# |
|-----------|--------------------|-------------------------------------|----------|-----------|----------|
| 1.        | May 2024           | 0                                   | 1        | 1         | 0        |
|           | <b>Grand Total</b> | 0                                   | 1        | 1         | 0        |

<sup>\*</sup>Inclusive of complaints of previous month resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

## **Trend of Annual disposal of complaints**

| Sr.<br>No | Year               | Carried Forward from previous year | Received | Resolved* | Pending# |
|-----------|--------------------|------------------------------------|----------|-----------|----------|
| 1         | 2021-22            | 0                                  | 0        | 0         | 0        |
| 2         | 2022-23            | 0                                  | 0        | 0         | 0        |
| 3         | 2023-24            | 0                                  | 0        | 0         | 0        |
|           | <b>Grand Total</b> | 0                                  | 0        | 0         | 0        |

<sup>\*</sup>Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last day of the year.